

Form PTO-1449

Applicant: T. Wade Fallin et. al

Serial No.:

Filing Date: 06/20/2003

For: Method And Apparatus For Bone Plating

Sheet 1 of 5

Docket No. MLI - 06

Confirmation No.

Group:

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
<u>AL</u> 1	4,388,921	June 21, 1983	Franz Sutter
<u> </u> 2	4,484,570	November 27, 1984	Franz Sutter
<u> </u> 3	5,053,036	October 1, 1991	Stephan N. Perren
<u> </u> 4	5,057,111	October 15, 1991	Joon B. Park
<u> </u> 5	5,085,660	February 4, 1992	Kwan C. Lin
<u> </u> 6	5,151,103	September 29, 1992	Slobodan Tepic
<u> </u> 7	5,234,431	August 10, 1993	Arnold Keller
<u> </u> 8	5,269,784	December 14, 1993	Jeffery W. Mast
<u> </u> 9	5,364,399	November 15, 1994	Gary Lowery
<u> </u> 10	5,501,684	March 26, 1996	Johannes F. Schiapfer
<u> </u> 11	5,520,690	May 28, 1996	Joseph P. Errico
<u> </u> 12	5,531,746	July 2, 1996	Joseph P. Errico
<u> </u> 13	5,549,612	August 27, 1996	Ronald A. Yapp
<u> </u> 14	5,578,034	November 26, 1996	Bradley T. Estes
<u> </u> 15	5,591,166	January 7, 1997	Andrew Bernhardt
<u> </u> 16	5,601,553	February 11, 1997	Linda Trebing
<u>AL</u> 17	5,643,265	July 1, 1997	Joseph P. Errico

Examiner:

Anuradha Ramana

Date Considered:

11/22/06

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: T. Wade Fallin et. al

Docket No. MLI - 06

Serial No.:

Confirmation No.

Filing Date: 06/20/2003

Group:

For: Method And Apparatus For Bone Plating

<u>AL</u> 18	5,681,311	October 28, 1997	Kevin T. Foley
19	5,735,853	April 7, 1998	Sven Olerud
20	5,902,303	May 11, 1999	Stephan Eckhof
21	5,904,683	May 18, 1999	Peter J. Pohndorf
22	5,931,838	August 3, 1999	Raymond P. Vito
23	5,954,722	September 21, 1999	Frank S. Bono
24	6,017,345	January 25, 2000	Marc Richelsoph
25	6,030,389	February 29, 2000	Erik J. Wagner
26	6,117,173	September 12, 2000	Lino Taddia
27	6,139,550	October 31, 2000	Gary K. Michelson
28	6,152,927	November 28, 2000	Robert A. Farris
29	6,193,721	February 27, 2001	Gary K. Michelson
30	6,224,602	May 1, 2001	Kyle Hayes
31	6,228,085	May 8, 2001	Randall R. Theken
32	6,235,034	May 22, 2001	Robert S. Bray
33	6,258,089	July 10, 2001	Christopher M. Campbell
34	6,261,291	July 17, 2001	David J. Talaber
35	6,331,179	December 18, 2001	Jim Freid
36	6,342,055	January 29, 2002	Lukas G. Eisermann
<u>AL</u> 37	6,383,186	May 7, 2002	Gary K. Michelson

Examiner:

Anuradha Ramana

Date Considered:

11/22/06

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant: T. Wade Fallin et. al

Serial No.:

Filing Date: 06/20/2003

For: Method And Apparatus For Bone Plating

Sheet 3 of 5

Docket No. MLI - 06

Confirmation No.

Group:

<u>AL</u> 38	6,398,783	June 4, 2002	Gary K. Michelson
39	6,413,259	July 2, 2002	Mathew Lyons
40	6,416,528	July 9, 2002	Gary K. Michelson
41	6,428,542	August 6, 2002	Gary K. Michelson
42	6,454,771	September 24, 2002	Gary K. Michelson
43	6,506,191	January 14, 2003	Ulrich Joos
44	6,527,776	March 4, 2003	Gary K. Michelson
45	6,533,786	March 18, 2003	Dusty Anna Needham
46	6,533,789	March 18, 2003	Harry Thomas Hall IV.
47	6,558,423	May 6, 2003	Gary K. Michelson
48	US 2001/0047174A1	November 29, 2001	Casimo Donno
49	US 2002/0022843A1	February 21, 2002	Gary K. Michelson
50	US 2002/0058939A1	May 16, 2002	Erik J. Wagner
51	US 2002/0120273A1	August 29, 2002	Dusty Anna Needham
52	Us 2002/0128655A1	September 12, 2002	Gary K. Michelson
53	US 2002/0183754A1	December 5, 2002	Gary K. Michelson
54	US 2002/0183756 A1	December 5, 2002	Gary K. Michelson
55	US 2002/0183757A1	December 5, 2002	Gary K. Michelson
56	US 2002/0188296A1	December 12, 2002	Gary K. Michelson
<u>AL</u> 57	US 2002/0151899 A1	October 17, 2002	Kirk J. Bailey

Examiner:

Anuradha Ramana

Date Considered:

11/22/06

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant: T. Wade Fallin et. al

Serial No.:

Filing Date: 06/20/2003

For: Method And Apparatus For Bone Plating

Sheet 4 of 5

Docket No. MLI - 06

Confirmation No.

Group:

<u>AK</u> 58	US 2003/0045880A1	March 6, 2003	Gary K. Michelson
<u>AK</u> 59	US 2003/0078583 A1	April 24, 2003	Lutz Biedermann
<u>AK</u> 60	US 2003/0093082 A1	May 15, 2003	Christopher M. Campbell

Foreign Patent Documents

Examiner Initial*	Document Number	Publication Date	Name
<u>AK</u> 61	EP0683646B1	October 24, 2001	Gary Lowery
62	EP0684017B1	February 24, 1999	Slobodan Tepic
63	EP0767631B1	December 27, 2000	Douglas W. Kohrs
64	EP0897697A1	February 24, 1999	Marc Richelsoph
65	EP0951247B1	October 16, 2002	Troy Drewry
66	EP1106144A1	June 13, 2001	Gary Lowery
67	EP1169971A2	January 9, 2002	Christopher M. Campbell
68	WO 95/35067	December 28, 1995	Sven Olerud
69	WO 96/08206	March 21, 1996	Kevin T. Foley
70	WO 97/22306	June 26, 1997	Kevin T. Foley
71	WO 98/34553	August 13, 1998	Gary K. Michelson
72	WO 98/51226	November 19, 1998	Robert A. Farris
73	WO 99/05968	February 11, 1999	Frank Bono
<u>AK</u> 74	WO 99/21502	May 6, 1999	Robert S. Jr. Bray

Examiner:

Anuradha Ramana

Date Considered:

11/22/06

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant: T. Wade Fallin et. al

Serial No.:

Filing Date: 06/20/2003

For: Method And Apparatus For Bone Plating

Sheet 5 of 5

Docket No. MLI - 06

Confirmation No.

Group:

<u>AL</u> 75	WO 02/098276	December 12, 2002	Gary K. Michelson
<u>AL</u> 76	WO 03/028567A1	April 10, 2003	Harry Hall

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial*

Author

Title

Year

<u>AL</u> 77	Theken	Tether Anterior Cervical Fixation System (Brochure)	2002
--------------	--------	---	------

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

Examiner:

Date Considered:

Anuradha Ramana 11/22/06

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.